

## Governance, Compliance and Ethics

**Committee Members**  
 Robert Martinez, Chair  
 Scherry Johnson, EdD  
 Brian Fant, JD  
 Winfred Parnell, MD

Agenda for the Governance, Compliance and Ethics Committee meeting of the Board of Managers of the Dallas County Hospital District is scheduled for Wednesday, November 15, 2017 at 10:30 a.m. in the Administrative Conference Room – 4<sup>th</sup> Floor WISH Clinic Tower, Parkland Health & Hospital System located at 5200 Harry Hines Blvd, Dallas, Texas 75235. The Governance, Compliance and Ethics Committee reserves the right to exercise discretion and may convene in Executive Session as permitted by Section 551.071 (consultation with attorney), 551.072 (deliberation regarding real property), 551.074 (personnel matters), 551.076 (deliberation regarding security devices or security audits), and 551.085 (deliberations regarding the negotiation for the arrangement or provision of services of a health care provider or nonprofit health maintenance organization) of the Texas Government Code, Sections 161.032 (at which the governing body receives records, information, or reports provided by a medical committee, medical peer review committee, or compliance officer), and 161.0315 of the Texas Health & Safety Code (records, information, or reports, from a medical peer review committee or a medical committee) and Section 160.007 of the Texas Occupations Code (record or proceeding of a medical peer review committee) and, as otherwise authorized by law, on *any* item listed. The Governance, Compliance and Ethics Committee may consider any of the agenda items in open or executive session and may continue the meeting as necessary to accomplish the business at the time and place designated by the Chair to reconvene.

	<b>Time</b>	<b>Presenter</b>
<b>I. Declare a Quorum Present/Call to Order/Mission Statement</b> <i>Dedicated to the health and well-being of individuals and communities entrusted to our care.</i>		Chair

### II. Executive Session

- |  |               |
|--|---------------|
| A. Tex. Health & Safety Code §§161.032 and 161.0315 and Tex. Occup. Code §160.007<br>Compliance and Ethics Reports |               |
| 1. Compliance Work Plan Report   | Mary Findley  |
| 2. Disclosure Program  | Jerry Hopgood |
| 3. Audits, Reviews and Investigations  | Yolanda Rich  |
| 4. Corrective Action Plans Report  | Yolanda Rich  |
| 5. Internal Audit Compliance Reviews   | Vic Summers   |
| 6. Training and Education  | Mary Findley  |

FILED

2017 NOV 10 AM 10:25

COUNTY CLERK  
DALLAS COUNTY

Page 2 of 2

B. Tex. Health & Safety Code §§161.032 and  
161.0315 and Tex. Occup. Code §160.007  
Corporate Integrity Agreement (“CIA”) Obligations

1. Ongoing Reporting Requirements

Mary Findley

2. CIA Year Five Compliance and Ethics Program  
Effectiveness Review

Stacy Brainin/  
Mary Findley

3. CIA Year Five Schedule of Annual Report  
to the Board/Board Committees

Mary Findley

D. Tex. Health & Safety Code §§161.032 and  
161.0315 and Tex. Occup. Code §160.007  
Reference Materials

Mary Findley

**IV. Action Items**

A. Compliance and Ethics Policies

Mary Findley

B. Any items in Sections II and III

**V. Adjourn**